



MUTUAL FUNDS

Sampoorna SIP Facility Form

(PLEASE READ THE INSTRUCTIONS BEFORE FILLING UP THE FORM.)

Distributor Name & ARN/ RIA No.	Sub Broker Name & ARN/ RIA No.	Sub Broker Code	Employee Unique ID. No. (EUIN)

EUIN is mandatory for "Advisory" transactions. Ref. Instruction No. C-3
I/we hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker

First Applicant / Authorised Signatory	Second Applicant	Third Applicant
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Existing Investor Folio No.	Application No.	Date* D D M M Y Y Y Y
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1. FIRST / SOLE APPLICANT INFORMATION (MANDATORY)

NAME OF FIRST / SOLE APPLICANT Mr. Ms. M/s.

2. INVESTMENT DETAILS (Refer Instruction B) (In case no scheme is selected the default scheme will be considered as Aditya Birla Sun Life Flexi Cap Fund) (*MANDATORY)

Scheme Name* (Kindly select any one from below schemes)

<input type="checkbox"/> Aditya Birla Sun Life Multi Asset Allocation Fund	<input type="checkbox"/> Aditya Birla Sun Life Balanced Advantage Fund	<input type="checkbox"/> Aditya Birla Sun Life Equity Hybrid '95 Fund	<input type="checkbox"/> Aditya Birla Sun Life Flexi Cap Fund
<input type="checkbox"/> Aditya Birla Sun Life Frontline Equity Fund	<input type="checkbox"/> Aditya Birla Sun Life India GenNext Fund	<input type="checkbox"/> Aditya Birla Sun Life Mid Cap Fund	

Plan Direct Regular Option Growth SIP Frequency Monthly SIP Installment Amount Min. ₹ 1,000

SIP Date D D (any date between 1-28) Tenure From: M M Y Y Y Y To: 8 years 10 years 12 years 15 years 20 years 25 years 30 years

First Installment Cheque Date Cheque No. Amount

Drawn on Bank and Branch

Use existing One Time Mandate

Bank Name A/c No.

3. KINDLY SELECT ANY ONE FROM BELOW SCHEMES FOR SWP (In case no option is selected the default option will be considered as Aditya Birla Sun Life Balanced Advantage Fund.)

From SIP Scheme OR Aditya Birla Sun Life Balance Advantage Fund Aditya Birla Sun Life Corporate Bond Fund Aditya Birla Sun Life Low Duration Fund Aditya Birla Sun Life Regular Savings Fund Aditya Birla Sun Life Multi Asset Allocation Fund

On Completion of SIP Tenure, units will be switched on T+15 to the scheme opted for.

OPTION Growth As per SWP Default Amount (As per Sampoorna SIP) (Refer point 6 of Terms and Conditions page.) OR Amount

4. DECLARATION(S) & SIGNATURE(S)

I/We hereby authorise Aditya Birla Sun Life Mutual Fund and their authorised service provider to debit the above bank account by NACH/ Auto Debit Clearing for collection of SIP payments. I/We understand that the information provided by me/us may be shared with third parties for facilitating transaction processing through NACH/ Auto Debit Clearing or for compliance with any legal or regulatory requirements. I/We hereby declare that the particulars given above are correct and complete and express my/our willingness to make payments referred above through participation in NACH/ Auto Debit. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We will not hold ABSLAMC/MF or their appointed service providers or representatives responsible. I/We will also inform, about any changes in my bank account immediately. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/We have read and agreed to the terms and conditions mentioned overleaf. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We acknowledge that the RIA has entered into an agreement with the AMC / MF for accepting transaction fees under the code. I/We hereby indemnify, defend and hold harmless the AMC / MF against any regulatory action, damage or liability that they may suffer, incur or become subject to in connection therewith or arising from sharing, disclosing and transferring of the aforesaid information.

For Micro SIP only: I hereby declare that I do not have any existing Micro SIPs which together with the current application in rolling 12 month period or in financial year i.e. April to March will result in aggregate investments exceeding ₹ 50,000 in a year. (refer Instruction no: B-15).

Signature(s)	Name of First Unit Holder First Applicant	Name of Second Unit Holder Second Applicant	Name of Third Unit Holder Third Applicant
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(To be signed by All Applicants if mode of operation is Joint)

PAN BASED DEBIT MANDATE-ONE TIME MANDATE [Applicable for Lumpsum Additional Purchases as well as SIP Registrations] Please attach a cancelled cheque/cheque copy.

Date D D M M Y Y Y Y

(tick ✓) UMRN

CREATE MODIFY CANCEL Sponsor Bank Code Office use only Utility Code Office use only

I/We hereby authorize: ADITYA BIRLA SUN LIFE MUTUAL FUND to debit (tick3) SB CA CC SB-NRE SB-NRO Other

Bank A/c No.:

With Bank Name & Branch IFSC OR MICR

Bank: ₹

an amount of Rupees

FREQUENCY Monthly Quarterly Half Yearly Yearly As & when presented DEBIT TYPE Fixed Amount Maximum Amount

Reference 1 PAN No: Mobile

Reference 2 Folio No/ Appln No: Email:

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of bank.

PERIOD From D D M M Y Y Y Y to D D M M Y Y Y Y Maximum period of validity of this mandate is 40 years only

1. Sign 2. Sign 3. Sign

Name as in bank records (mandatory) Name as in bank records (mandatory) Name as in bank records (mandatory)

Declaration: This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing Aditya Birla Sun Life Mutual Fund to debit my account based on the instructions as agreed and signed by me. I have understood that I am authorised to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to Aditya Birla Sun Life Mutual Fund or the bank where I have authorised the debit.

INSTRUCTIONS FOR ONE TIME MANDATE FORM

- Investors who have already submitted an NACH/AUTO DEBIT form or already registered for NACH/AUTO DEBIT facility should not submit NACH/AUTO DEBIT form again as NACH/AUTO DEBIT registration is a one-time process only for each bank account. However, if such investors wish to add a new bank account towards OTM facility may fill the form.
- Investors, who have not registered for NACH/AUTO DEBIT facility, may fill the NACH/AUTO DEBIT form and submit duly signed with their name mentioned.
- Mobile Number and Email Id: Unit holder(s) should mandatorily provide their mobile number and email id on the mandate form. Where the mobile number and email id mentioned on the mandate form differs from the ones as already existing in the folio, the details provided on the mandate will be updated in the folio. All future communication whatsoever would be, thereafter, sent to the updated mobile number and email id.
- Unit holder(s) need to provide along with the mandate form an original cancelled cheque (or a copy) with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unit holder(s) cheque/ bank account details are subject to third party verification.
- Investors are deemed to have read and understood the terms and conditions of NACH/AUTO DEBIT Facility, SIP registration through NACH/AUTO DEBIT facility, the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and Addenda issued from time to time of the respective Scheme(s) of Aditya Birla Sun Life Mutual Fund.
- Please mention the amount in figures and words.
- Please fill all the required details in the Debit Mandate Form for NACH/Auto Debit. The sole/first holder must be one of the holders in the bank account.
- The UMRN, the Sponsor Bank Code and the Utility Code are meant for office use only and need not be filled by the investors.
- The 9 digit MICR and the 11 digit IFSC are mandatory requirements without which your SIP applications will be rejected. You should find these codes on your cheque leaf.
- Mandate can be issued for a maximum duration of 40 years from the date of issuance.

TERMS AND CONDITIONS FOR SAMPOORNA SIP

1. Sampoorna SIP is an optional facility offered by the AMC and does not in any way give guarantee, assurance, promise or indication of the performance of any of the schemes of the Fund or provide any guarantee of withdrawals through SWP mode or the returns that can be generated under this facility. The SWP for amount decided by the unit holders will be processed upto 40 years or till the time units are available in the underlying SWP scheme in the unitholders folio.
2. Sampoorna SIP facility is available under eligible schemes of Aditya Birla Sun Life Mutual Fund. This facility allows the investors to invest via systematic investment plan ("SIP") in eligible schemes of the Fund and post completion of the SIP tenure, commence the systematic withdrawal plan ("SWP") in the same scheme or different scheme as selected by the investor. The AMC reserves the right to modify the list of eligible schemes from time to time. Investors should consult their financial advisers if in doubt about whether the product is suitable for them.
3. Sampoorna SIP can be registered in a separate/new folio or an existing folio. Standalone SIP registrations cannot be converted into Sampoorna SIP registrations.
4. The minimum instalment amount under Sampoorna SIP shall be minimum ₹ 1,000/- and only monthly frequency is available for SIP and SWP under this facility.
5. Different amount for first cheque and subsequent instalment is not allowed.
6.
 - a. In case SIP tenure or SIP Date is not selected, the default SIP tenure shall be 12 years and default SIP date will be 7th of each month. The investment under this facility is allowed only under "GROWTH" option in both Source and Target Scheme. Further, the same plan ("Direct" / "Regular") shall prevail for both Source and Target scheme.
In case of non selection of a source scheme the default shall be Aditya Birla Sun Life Flexi Cap Fund.
 - b. The SWP date shall be the same as SIP date.
 - c. **Investors can specify any SWP amount of their choice, subject to minimum SWP amount of the respective Schemes.**
 - d. The SWP will be processed either till 40 years or till the units are available in the target scheme, whichever is earlier.
 - e. Applicable Exit Load will be as per respective scheme opted under SWP.
7. On completion of the SIP period, the entire accumulated clear units via the respective Sampoorna SIP can be switched into a pre-defined target scheme. This shall happen on the fifteenth day from the date of last SIP transaction. While additional purchase/switch-in/ SIP Top up/ SIP registration will be allowed under Sampoorna SIP folio, only total clear units accumulated through Sampoorna SIP facility will be considered for switch to Target Scheme
The SWP transactions will be initiated from the succeeding month of completion of SIP tenure.
8. During Sampoorna SIP registration investor may choose to:
 - a. Opt for SWP from same scheme where SIP is registered. SWP will commence after SIP Tenure is completed.
or
 - b. Decide to switch the units on completion on SIP Tenure to the below schemes and avail SWP from any of the below schemes:
 - I. Aditya Birla Sunlife Multi Asset Allocation Fund.
 - II. Aditya Birla Sun Life Balanced Advantage Fund
 - III. Aditya Birla Sun Life Corporate Bond Fund
 - IV. Aditya Birla Sun Life Low Duration Fund
 - V. Aditya Birla Sun Life Regular Savings Fund

Note: In case switch is opted but scheme is not specified, Aditya Birla Sun Life Balanced Advantage Fund will be considered as default scheme for SWP trigger.
9. Illustration below for an SIP of amount ₹10,000
If investors do not state the SWP amount while registering, then default SWP amount will be applied as per a matrix enumerated below:

SIP Tenure (years)	Monthly SWP Instalment amount
8 Years	1.25 times of initial SIP instalment amount
10 Years	2.00 times of initial SIP instalment amount
12 Years	2.50 times of initial SIP instalment amount
15 Years	3.50 times of initial SIP instalment amount
20 Years	6.00 times of initial SIP instalment amount
25 Years	9.00 times of initial SIP instalment amount
30 Years	14.00 times of initial SIP instalment amount
10. Investors can purchase and redeem units under Source Scheme other than this facility also. Units will be redeemed from the Source Scheme in a folio on First in First out (FIFO) basis. However, if any of the units acquired under this facility are impacted i.e. redeemed/switched during the SIP tenure or after the SIP tenure till the execution of switch, then SWP trigger will stand cancelled.
For ease of understanding of investors, the SWP amounts have been denoted in the said matrix as a multiple of the monthly SIP amount for any particular tenure. For example: For a monthly SIP of ₹ 10,000 registered for 10 years, the default monthly SWP amount would be 2 times the monthly SIP amount viz. ₹ 20,000.
Note: SWP is a facility to withdraw fixed amount periodically from the investments by redeeming units. Thus, withdrawals happen from capital and appreciation portion of the investments.
The default SWP amounts as per the above matrix are applicable only when investors do not mention SWP amount in the application form.
The default SWP amount as per the matrix do not in any manner indicate returns or assurance on the amount the investor will make or obtain by investing under the Facility. It only indicates the likely amount that can be withdrawn through SWP and for ease of understanding and planning of investment, it is depicted as number of times (1.25 times, 2 times, etc.) the monthly SIP installments opted by the investor.
11. Under a single folio, an investor can have multiple registrations under this facility. However, if investor wishes to invest in multiple schemes, investor shall have to submit separate Sampoorna SIP registration forms.
12. Purchase and Switch In (SI) is allowed under the Target Scheme and SWP amount being triggered would be on a first in first out (FIFO) basis.
13. SIP Pause facility for three months can be availed only once during the tenure.
14. The investor has the right to discontinue SIP at any time he/she so desires by sending a written request 10 calendar days in advance of the immediate next due date to any of the offices of Aditya Birla Sun Life Mutual Fund or its Authorized Collection Centres. On receipt of such request SIP will be terminated. Once registered, the facility cannot be modified. This product is restricted for Minor tax status Investors and will be available only under non demat mode of transaction.
15. Sampoorna SIP shall get discontinued in the following cases:
 - a. Three consecutive reversals.
 - b. On cancellation of SIP/termination of SIP before the end of tenure, the SWP trigger will cease.
 - c. In the event of receipt of investor demise intimation during the SIP tenure, the switch and the SWP shall cease. In the event or receipt of investor demise intimation post SIP tenure but up to Dec 2099 (or till units are available), the SWP shall cease and the folio shall be marked for processing transmission of units.
 - d. Any change in AMFI Registration Number (ARN) code.
 - e. If any of the units acquired under this facility are impacted i.e. redeemed/switched during the SIP tenure or after the SIP tenure till the execution of switch, then SWP trigger will stand cancelled.
 - f. Conversion of physical units to demat.
16. In case the investor does not fulfil any criteria, the Sampoorna SIP application may be rejected. Aditya Birla Mutual Fund reserves the right to reject any application.
17. Further, all Terms and Conditions applicable for SIP and SWP shall also be additionally applicable for registrations under Sampoorna SIP.
18. Unitholders are advised to read the Scheme Information Document(s) / Key Information Memorandum(s) of the concerned Scheme(s) and Statement of Additional Information carefully and will be bound by the terms and conditions of this facility and provisions of the concerned Scheme(s).

Acknowledgement Slip (To be filled in by the Investor)

SAMPOORNA SIP FACILITY FORM

Application No.

Collection Centre /
ABSLAMC Stamp & Signature

Received from Mr. / Ms. _____ Date : ____/____/____

B. COMMON INSTRUCTIONS FOR SIP

1. DISCLAIMER

- (i) Investors will not hold Aditya Birla Sun Life Mutual Fund, its Registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of OTM/ NACH/ Auto Debit.
- (ii) Aditya Birla Sun Life Mutual Fund, its Registrars and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.
- (iii) Aditya Birla Sun Life Mutual Fund reserves the right to reject any application without assigning any reason therefor.
- (iv) Investors shall not hold the AMC/ Registrar/Service Providers and/or the Bank/s liable for any failure or delay in completion of its obligations where such failure or delay is caused, in whole or in part, by any Force Majeure event including acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, war, earthquake, or any other cause of peril which is beyond the AMC/ Registrar /Service Provider's and/or the Bank's/s' reasonable control. Further no separate intimation will be received from AMC / It's Registrar / Bank and/or Service Providers in case of non-execution of the instructions in case of such Force Majeure events.

2. It is compulsory for all investors to quote their Permanent Account Number (PAN) and submit copy of the PAN card issued by the Income Tax Department, irrespective of the amount of investment, while making an application for Purchase of Units. In case of joint applicants, PAN details of all holders should be submitted. In case the investor making the application is a minor, PAN details of the Guardian must be submitted. Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN proof submission, however sufficient documentary evidence shall have to be submitted to Aditya Birla Sun Life Mutual Fund for verifying that they are residents of State of Sikkim. Investors (being individuals) applying for Micro SIP registrations are exempt from mandatory requirement of PAN submission. For further details on Micro SIP, please refer instructions (E-23) in SIP Application Form.

3. DIRECT APPLICATIONS AND EUIN

- a. **Investment in Direct Plan:** Investors applying under Direct Plan, are advised to write the word "DIRECT" in the column 'ARN No' or 'Broker Code' in their applications for purchases/additional purchases/switches in all such cases where applications are not routed through any distributor/agent/broker. In cases where unit holder uses a pre-printed transaction slip/application form where details in the 'ARN No' or 'Broker Code' column is already printed, unit holder should cancel the ARN No/ Broker Code, write 'DIRECT' in the said column. **Also, in case ARN No/ Broker Code is mentioned in the application form, but "Direct Plan" is indicated, the ARN No/ Broker Code will be ignored and the application will be processed under Direct Plan, subject to it being complete in all other aspects. Further, where application is received for Regular Plan without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan.**
- b. Employee Unique Identification Number (EUIIN) is a unique number allotted to Sales personnel i.e. employee/ relationship manager/ sales person of the distributor interacting with the investor for the sale of mutual fund products. Such sales personnel associated with Distributor, should also be holding a valid NISM certificate. **Thus, in case of applications routed through distributors, in addition to the AMFI Registration Number (ARN) of the distributor, investors are requested to also provide the EUIIN of the individual ARN holder or of employee/relationship manager/ sale person of the Distributor interacting with the investor.** Providing appropriate EUIIN in the application/transaction forms would assist in tackling the problem of mis-selling even if the Sales personnel on whose advice the transaction was executed by investor leaves the employment of the distributor or his/her sub broker. If the distributor has not given any advice pertaining to the investment (i.e. transaction is 'execution only'), then the EUIIN box may be left blank, but it would be mandatory for the investor to provide confirmation as mentioned in the application form.

4. KNOW YOUR CUSTOMER (KYC)

According to guidelines issued by SEBI under 'The Prevention of Money Laundering Act, 2002', Mutual Funds are required to follow enhanced know your customer (KYC) norms. Investors can visit branches of ABLAMC or may visit www.adityabirlacapital.com, www.amfiindia.com and www.cdslindia.com to know detailed procedure for KYC compliance.

Effective January 01, 2011 it is mandatory for all category of investors to be KYC compliant for all investment transactions made on or after January 01, 2011, irrespective of amount of investment.

To further clarify, the above category of investors shall include:

- i. their constituted Power of Attorney (PoA) holder, in case of investments through a PoA
- ii. each of the applicants, in case of investments in joint names; and
- iii. Guardian in case of investments on behalf of minor.

Applications without KYC Acknowledgement letter for the specified category of investors are liable to be rejected.

Provided further, where it is not possible to verify the KYC compliance status of the investor at the time of allotment of units, the ABLAMC shall verify the KYC compliance status of the investor within a reasonable time after the allotment of units. In the event of non compliance of KYC requirements, the ABLAMC reserves the right to freeze the folio of the investor(s) for any kind of transactions or affect mandatory redemption of unit holdings of the investors at the applicable NAV, subject to payment of exit load. Investors should note that on completion of KYC Compliance all details of the investor in the Mutual Fund records will be replaced by the details as given in KYC Application Form by the investor. Any change in these details like change of Name / Address / Status / Signature, etc. should be given by investor directly in the prescribed manner.

Pursuant to SEBI Circular No. MIRS/ D/ Cir-26/ 2011 dated December 23, 2011, SEBI (KYC Registration Agency) Regulations, 2011 and SEBI Circular No. MIRS/ SE/ Cir-21/ 2011 dated October 05, 2011, regarding uniformity in the Know Your Customer (KYC) process in the securities market and development of a mechanism for centralization of the KYC records to avoid duplication of KYC Process across the intermediaries in the securities market, the following changes are being made to KYC process w.e.f. January 01, 2012:

1. SEBI has introduced a common KYC Application Form for all the SEBI registered intermediaries viz. Mutual Funds, Portfolio Managers, Depository Participants, Stock Brokers, Venture Capital Funds, Collective Investment Schemes, etc. New Investors are therefore requested to use the common KYC Application Form and carry out the KYC process including In-Person Verification (IPV) with any SEBI registered intermediaries including mutual funds. The KYC Application Forms are also available on our website www.adityabirlacapital.com.
2. The Mutual Fund shall perform the initial KYC of its new investors and may undertake enhanced KYC measures commensurate with the risk profile of its investors. The Mutual Fund shall upload the details of the investors on the system of the KYC Registration Agency (KRA). Registrar & Transfer Agent (RTA) of the Mutual Fund may also undertake the KYC of the investors on behalf of the Mutual Fund. KRA shall send a letter to the investor within 10 working days of the receipt of the initial/updated KYC documents from the Mutual Fund, confirming the details thereof.
3. Once the investor has done KYC with a SEBI registered intermediary, the investor need not undergo the same process again with another intermediary including mutual funds. However, the Mutual Fund reserves the right to carry out fresh KYC of the investor.
4. It is mandatory for intermediaries including mutual funds to carry out In-Person Verification (IPV) of its new investors w.e.f. January 01, 2012. The IPV carried out by any SEBI registered intermediary can be relied upon by the Mutual Fund. ABLAMC and NISM/AMFI certified distributors who are KYD compliant are authorized to undertake the IPV for Mutual Fund investors. Further, in case of any applications received directly (i.e. without being routed through the distributors) from the investors, the Mutual Fund may rely upon the IPV (on the KYC Application Form) performed by the scheduled commercial banks.
5. Existing KYC compliant investors of the Mutual Fund can continue to invest as per the current practice. However, existing investors are also urged to comply with the new KYC requirements including IPV as mandated by SEBI.
6. The payment for investment by means of Cheque, Demand Draft or any other mode shall be accepted from the bank account of the minor or from a joint account of the minor with the guardian only.

5. RESTRICTION ON ACCEPTANCE OF THIRD PARTY PAYMENT:

- a) Pursuant to the AMFI Best Practice Guidelines circular on 'Risk mitigation process against Third-Party Cheques in mutual fund subscriptions' read with compliance with 'Know your Customer (KYC)' norms under Prevention of Money Laundering Act, 2002 (PMLA), **Aditya Birla Sun Life AMC Limited (ABSLAMC)/ Aditya Birla Sun Life Mutual Fund (ABSLMF) shall not accept applications for subscriptions of units accompanied with Third Party Payments**, except in the cases as enumerated below in para (c).
- b) "Third Party Payment" means payment through an instrument issued from a bank account other than that of the beneficiary investor. In case of payments from a joint bank account, the first named investor/holder of the mutual fund folio has to be one of the joint holders of the bank account from which payment is made.
- c) ABSLAMC shall not accept subscriptions accompanied with Third Party Payments except in the following exceptional situations subject to submission of requisite documentation/declarations enumerated in para (d) below:
 - i. Payment by Employer on behalf of employee under Systematic Investment Plans (SIP) through Payroll deductions.
 - ii. Custodian on behalf of an FPI or a client.
- d) In case of 'exceptional situations' mentioned above, investors are required to submit following documents/declarations alongwith the application form without which such applications will be rejected/ not processed/refunded:
 - i. Mandatory KYC for all Investors (guardian in case of minor) and the person making the payment i.e. third party. In order for an application to be considered as valid, investors and the person making the payment should attach their valid KYC Acknowledgement Letter to the application form.
 - ii. A separate, complete and valid 'Third Party Payment Declaration Form', inter alia, containing the details of the bank account from which the payment is made and the relationship with the investor(s). The declaration has to be given by the person making the payment i.e. Third Party. Please contact the nearest Investor Service Centre (ISC) of ABSLAMC or visit our website www.adityabirlacapital.com for the said Declaration Form. ABSLAMC/ABSLMF shall verify the source of funds to ensure that funds have come from the drawer's account only.

e) Investors are requested to note that, in case of:

- i. **Payment by Cheque:** An investor at the time of his/her purchase must provide the details of his pay-in bank account (i.e. account from which a subscription payment is made) and his pay-out bank account (i.e. account into which redemption/IDCW proceeds are to be paid). If the name/bank account number is not pre-printed on the cheque and signature on the cheque does not match with signature on the application, then the first named applicant/investor should submit any one of the following documents:
 - a. a copy# of the bank passbook or a statement of bank account having the name and address of the account holder and account number;
 - b. a letter* (in original) from the bank on its letterhead certifying that the investor maintains an account with the bank, along with information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code (where available).
- # Investors should also bring the original documents along with the documents mentioned in (a) above to the ISCs/Official Points of Acceptance of ABSLMF. The copy of such documents will be verified with the original documents to the satisfaction of the ABSLAMC/ABSLMF. The original documents will be returned across the counter to the investor after due verification.
- * In respect of (b) above, it should be certified by the bank manager with his/her full signature, name, employee code, bank seal and contact number.

Investors should note that where the bank account numbers have changed on account of the implementation of core banking system at their banks, any related communication from the bank towards a change in bank account number should accompany the application form for subscription of units.

ii. Payment by Prefunded Instrument:

- (1) If the subscription is settled with pre-funded instruments such as Pay Order, Demand Draft, Banker's cheque, etc., a Certificate (in original) from the Issuing banker must accompany the purchase application, stating the Account holder's name and the Account number which has been debited for issue of the instrument. The account number mentioned in the Certificate should be a registered bank account or the first named unitholder should be one of the account holders to the bank account debited for issue of such instruments. Investors may also submit a copy of the acknowledgement from the bank, wherein the instructions to debit carry the bank account details and name of the investor as an account holder, or a copy of the passbook/bank statement evidencing the debit for issuance of a DD, provided bank account number has to match with the details provided in the application form and name should match with the name of the first named unitholder.
 - (2) A pre-funded instrument issued by the Bank against Cash shall not be accepted for investments of ₹ 50,000/- or more. This also should be accompanied by a certificate from the banker giving name, address and PAN (if available) of the person who has requested for the payment instrument. The name mentioned on the Certificate should match with the name of the first named unitholder and certificate must state such investor's bank account number and PAN as per bank record, if available. The Certificate(s) mentioned in (1) and (2) above should be duly certified by the bank manager with his/her full signature, name, employee code, bank seal and contact number.
- iii. **Payment by RTGS, NEFT, ECS, Bank transfer, etc.:** A copy of the instruction to the bank stating the account number debited must accompany the purchase application. The account number mentioned on the transfer instruction copy should be a registered bank account or the first named unitholder should be one of the account holders to the bank account.

vi. Investors residing in Centres, where the Investors service Centres of the mutual fund are not located, are requested to make payment by demand drafts payable at the Centre where the application is to be lodged. D.D. charges would be borne by the fund only for the investors residing at places which are not covered by our office / authorised centres & DD Charges are mentioned in the form. The maximum charges so borne by the fund would be restricted to limits as prescribed by State Bank of India.

6. EMAIL COMMUNICATION

Account Statements, Quarterly Newsletter, Annual Reports and Transaction Confirmation can be sent to Unit holders by post / email. Should the Unit holder experience any difficulty in accessing in the electronically delivered documents, the unit holder shall promptly inform the same to the Mutual Fund. It is deemed that the Unit holder is aware of all security risks including possible third party interception of the documents and contents of the documents becoming known to third parties. For ease of communication, first applicant's own email ID and mobile number should be provided.

Acknowledgement Slip (To be filled in by the Investor)

SAMPOORNA SIP FACILITY FORM

Scheme Name _____ Plan _____ Option _____ Amount (₹) _____

Scheme Name _____ Plan _____ Option _____ Amount (₹) _____

Scheme Name _____ Plan _____ Option _____ Amount (₹) _____

MUTUAL FUND INVESTMENTS ARE SUBJECT TO MARKET RISKS, READ ALL SCHEME RELATED DOCUMENTS CAREFULLY.